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Date

February 19, 2002

Number of pages (including cover): 10

To

Commissioner for Patents, United States Patent and Trademark Office

Technology Center 2800, Customer Service Center

Applicant

C01104/70089

Serial No:

10/040,292

Filing Date:

October 25, 2001

Title:

LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS

Fax Number: 1-703-872-9317

Number of Pages (including Cover Sheet): 10

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that a Transmittal Letter and a Preliminary Amendment in the above-referenced application (total of 10 pages including cover sheet) are being facsimile transmitted to the Commissioner for Patents at the United States Patent and Trademark Office on the date shown below.

Joseph Teja, Jr.

Typed or Printed Name of Person

Date: February 19, 2002

Signing Certification

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PATENTS

TRADEMARKS

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LITIGATION

ATTORNEY'S DOCKET NO: C01104/70089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

C01104/70089

Serial No:

10/040,292

Filed: For:

October 25, 2001 LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS

Examiner:

Not yet assigned

Art Unit:

2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 19th day of February, 2002.

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith is/are the following document(s):

[X] Preliminary Amendment

[X] Facsimile Transmittal

If the enclosed papers are considered incomplete, the Technology Center to which the enclosures are directed is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If, however, a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825.

Respectfully submitted,

Rv

oseph Veja, Jr., Reg. No. 45,157

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210 Tel. (617) 720-3500

Docket No. C01104/70089 Dated: February 19, 2002 X (NDD)

595635.1

WOLF GREENFIELD

Fax:6177202441

Feb 19 200

ATTORNEY'S DOCKET NO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

C01104/70089 10/040,292

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COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please enter the following amendments in the aboveidentified application:

IN THE SPECIFICATION

Please replace the paragraphs beginning on line 3 of page 1 with the following paragraphs as indicated below. A marked-up version of these paragraphs with all changes made by the current amendment shown using bracketing and underlining is attached hereto as the pages captioned "Version With Markings to Show Changes Made".

-- Cross References to Related Applications

This application claims the benefit under 35 U.S.C. §119(e) of the following U.S. provisional applications:

595415.1